



PTO/SB/08A/B (09-06)

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|----------------------------------------------------------------------------------------------------------------------------------------------|---|--------------------------|------------------------|------------------------|------------------|
| <b>Substitute for form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | <b>Complete if Known</b> |                        |                        |                  |
|                                                                                                                                              |   | Application Number       | 10/809,089-Conf. #7653 |                        |                  |
|                                                                                                                                              |   | Filing Date              | March 25, 2004         |                        |                  |
|                                                                                                                                              |   | First Named Inventor     | Andrew R. MARKS        |                        |                  |
|                                                                                                                                              |   | Art Unit                 | 1614                   |                        |                  |
|                                                                                                                                              |   | Examiner Name            | Not Yet Assigned       |                        |                  |
| Sheet                                                                                                                                        | 1 | of                       | 2                      | Attorney Docket Number | 0019240.00596US1 |

| U.S. PATENT DOCUMENTS |                          |                                          |                                |                                                    |                                                                                 |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |                                                    |                                                                                 |
|                       | AA*                      | US-20050186640-A1                        | 08-25-2005                     | Marks et al.                                       |                                                                                 |
|                       | AB*                      | US-20050187386-A1                        | 08-25-2005                     | Marks et al.                                       |                                                                                 |
|                       | AC*                      | US-20060194767-A1                        | 08-31-2006                     | Marks et al.                                       |                                                                                 |
|                       | AD*                      | US-20060293266-A1                        | 12-28-2006                     | Marks                                              |                                                                                 |
|                       | AE*                      | US-20070049572-A1                        | 03-01-2007                     | Marks et al.                                       |                                                                                 |

| FOREIGN PATENT DOCUMENTS |                          |                                                                                   |                                   |                                                                   |                                                                                 |                |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document                                                           | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document                | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |                                                                   |                                                                                 |                |
|                          | BA                       | WO-04/080283                                                                      | 09-23-2004                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |
|                          | BB                       | WO-05/002518                                                                      | 01-13-2005                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |
|                          | BC                       | WO-05/037195                                                                      | 04-28-2005                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |
|                          | BD                       | WO-05/094457                                                                      | 10-13-2005                        | The Trustees of Columbai<br>University in the city of New<br>York |                                                                                 |                |
|                          | BE                       | WO-06/071603                                                                      | 07-06-2006                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |
|                          | BF                       | WO-06/101497                                                                      | 09-28-2006                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |
|                          | BG                       | WO-06/101496                                                                      | 09-28-2006                        | The Trustees of Columbia<br>University in city of New York        |                                                                                 |                |
|                          | BH                       | WO-07/024717                                                                      | 03-01-2007                        | The Trustees of Columbia<br>University in the city of New<br>York |                                                                                 |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |                                                                                                                                                                                                                                                                 |                |
|---------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                          |                                                                                                                                                                                                                                                                 |                |

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|-----------------------|-----------------------|--------------------|------------|
| Examiner<br>Signature | /Benjamin J. Packard/ | Date<br>Considered | 11/15/2007 |
|-----------------------|-----------------------|--------------------|------------|

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.P./

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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